

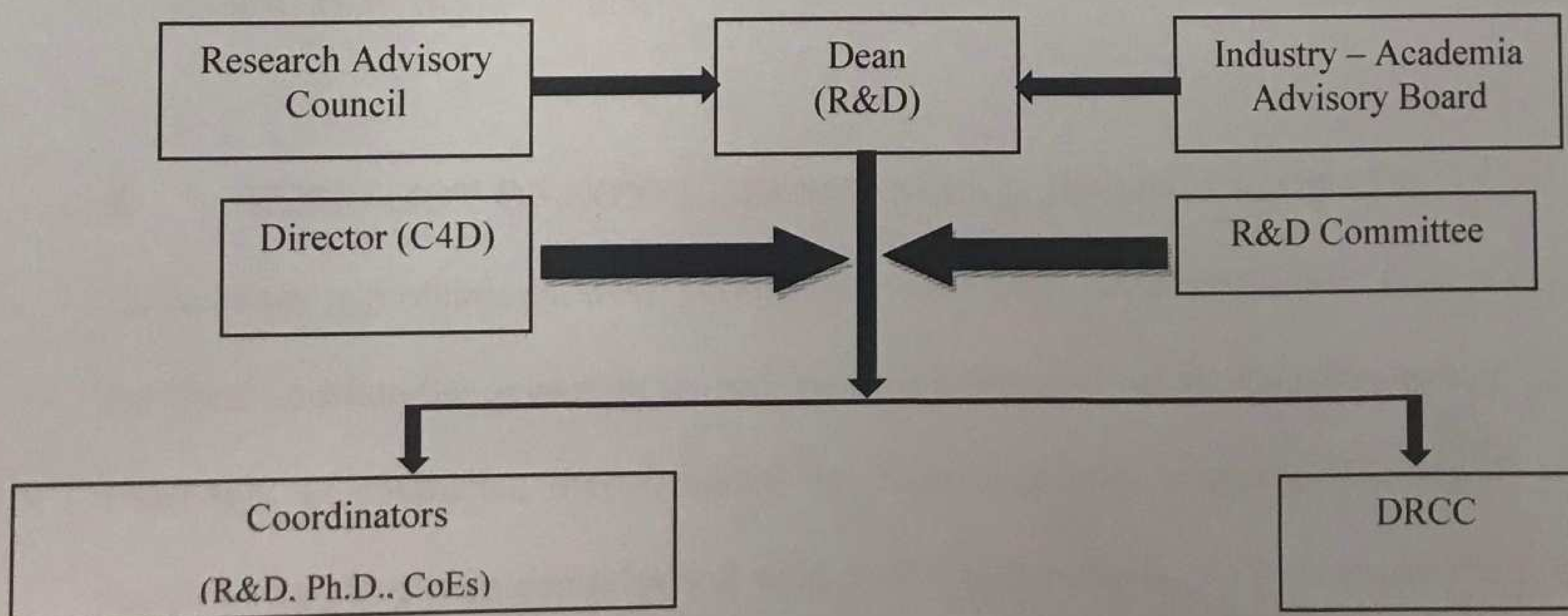
CONSULTANCY POLICY

1. INTRODUCTION:

A Research Consultancy exists whenever an academic staff member delivers research skills or knowledge in exchange for compensation from an external funder. A Research Consultancy may be the outcome of a tender or an individual engagement. Consultancy is an avenue for knowledge and expertise to move from universities to businesses and other external organizations, and it may contribute to the growth, development, and productive collaborations ultimately leading to knowledge development and sharing. University encourages its employees to undertake consultancy projects, as per the consultancy policy to 'Explore optimal development opportunities available'.

The University is committed in its pursuit of excellence in research and aims to lead the Research agenda across the spectrum of Engineering & Technology, Basic Sciences, Humanities, Social Sciences, Legal Studies, Management, Commerce, Hotel Management, Pharmacy and Medical Science. Our commitment to the interdisciplinary and multidisciplinary work is reflected in both Applied Research and Basic Research.

R&D Governance Structure:

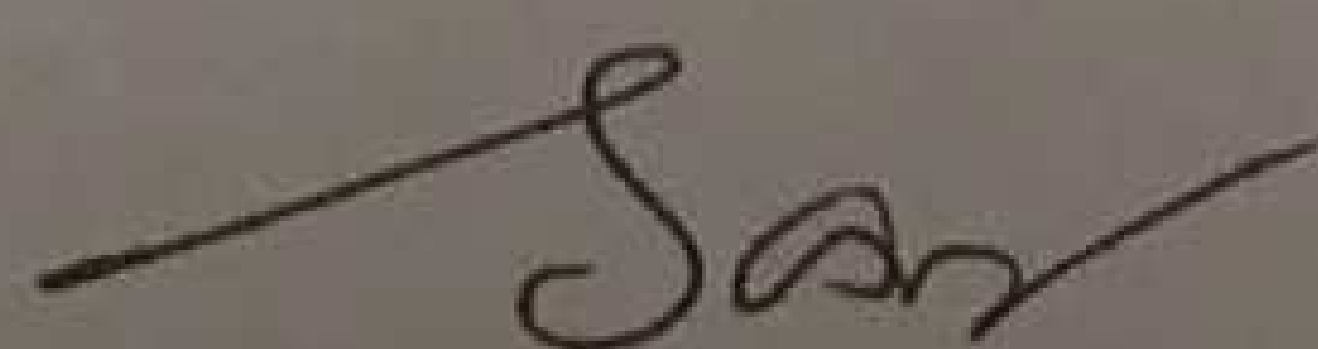


2. OBJECTIVES

- a. To promote dynamic research with focus on basic, applied research and translational research and publication of research papers in the peer reviewed and high H-index journal.
- b. To integrate the four elements of research & development i.e. people, ideas, funds and culture in order to have quality research and development activities.
- c. To identify the core areas of research involving interdisciplinary, multidisciplinary and collaborative approach in industry and academia at the National and International level and develop start up research clusters.
- d. To strengthen the existing Centers of Excellence: C4D, SRM-Siemens Center of Excellence & SRM-BOSCH Center of Excellence, etc.
- e. To establish advance research centers at least one in each faculty and one advance center more socially relevant to the area particularly in the state of Haryana.
- f. To publish University journal, and further to create opportunities for publication of quality research papers from interdisciplinary & multidisciplinary areas.
- g. To introduce "Best Research Paper Award", "Best Research Faculty Award" and "Best Research Project Award".
- h. To create conducive environment and culture for research and development and to encourage academia-industry to undertake more quality research publications, projects, patents, MDP and consultancy.

3. DEFINITION OF CONSULTANCY SERVICES AND PROJECTS

Consultancy is professional work performed by university members in their field of expertise for clients outside the university in exchange for a financial return. Consultancy will produce some type of contracted output, which the client may own in part or entirely. It will be regulated by short-term contracts and need as few university-available resources as possible. It would be an additional management responsibility for the university as well as additional



work for current university personnel. As a result, the university will charge a fee in addition to the consultant fee to the university member who is engaged in consulting.

4. NATURE OF CONSULTANCY

Consultancy projects may be of the following two types:

- A. Consultancy projects received by the university or its faculty or department
(Institutional)
- B. Consultancy secured by employees through their efforts, network and expertise
(Individual)

Consultancy may cover different kind of activities depending upon the expertise of the employee, Department or Faculty.

Exclusions: This consulting policy does not apply to actions aimed at improving scholarship, knowledge, and teaching, or promoting the teaching, learning and research activities in general. For example, external examinership, conference and seminar presentations, editorship of academic journals, research articles, books, and patents, and any other activity decided by the Vice Chancellor.

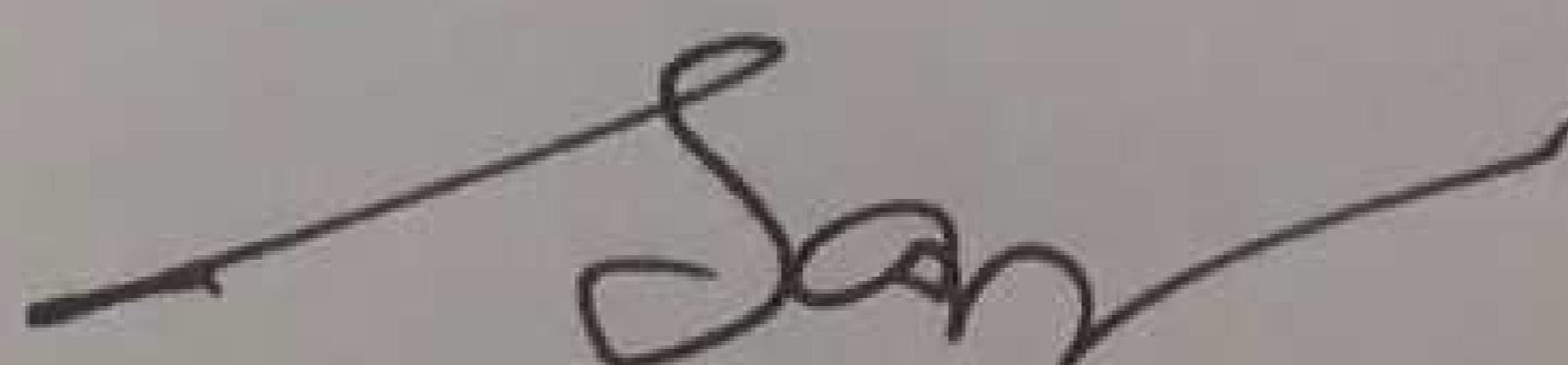
5. Approval to Undertake Consultancy Project

- A. *Consultancy projects received by the university or its faculty or department
(Institutional)*

All projects received by the University shall be marked to the respective Faculty/Department for its execution approved by the Vice Chancellor on the recommendation of the R&D Cell.

A Principal Investigator (PI) and/or Co-PIs who would be responsible for getting the project executed. The terms of reference shall be drawn by the R&D Cell which will govern the responsibility of the PIs/Co-PIs and institution for the consultancy project.

- B. *Consultancy secured by employees through their efforts, network and expertise
(Individual)*



Consultancy projects received by the individual employees shall be submitted to the R&D Cell, which will approve the project keeping in view the following general principles:

- The degree to which the faculty or department will take on consulting work in addition to their regular responsibilities and workload.
- Strength of the proposal, budget, and institutional priorities of research and development
- Risks involved in the project and its consequential mitigation in the project.

No employee shall undertake a consultancy project without the approval of Competent Authority through R & D Cell.

6. Management of Consultancy

Principal Investigator shall be responsible to manage the consultancy project efficiently and in a timely manner as per the Terms of Reference. Without prejudice to the above general obligation, the PI shall be responsible for the following:

- a) Protecting the interest of the University at all times and not allowing the consultancy project take precedence over the routine work of the University.
- b) Ensure that a legally binding agreement is entered between the University and the Client.
- c) Ensure that all original copies of the document relating to the consultancy is made available to the Repository in the University.

Employees shall at all times be subject to the University Conduct Rules and any misconduct shall be dealt as per the university norms.

7. Revenue Sharing

If the faculty members and students of the SRM University bring Consultancy projects to the University, then royalty distribution is 40% to the University and 60% to the Principal Investigator (PI) and team.



Consultancy	Incentive Scheme
Sanctioned Consultancy Amount	The ratio of distribution would be 60% to the Faculty & 40% to the University

8. Dispute Resolution

Any issue arising out of the execution, non-performance, misconduct during the consultancy project shall be examined by the R&D Cell which will submit its recommendation to the competent authority. Any issues relating to interpretation of a clause of the consultancy policy shall also be referred to the competent authority. Decision of the competent authority shall be final in all respect.

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